



Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: NANGALIA et al.

Application Serial No.: 10/727,418

Filing Date: December 4, 2003

For: METHODS AND APPARATUS FOR
ROUTING SECURITIES ORDERS

)
) Group Art Unit: 3621
)
) Examiner: Cangialosi, Salvatore A.
)
) **RESPONSE TRANSMITTAL**
)
) Attorney Docket No.: G08.071
)
) **PTO Customer Number 28062**
) Buckley, Maschoff & Talwalkar LLC
) Five Elm Street
) New Canaan, CT 06840
) (203) 972-0006
)
)

CERTIFICATE OF MAILING UNDER 37 CFR 1.8

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on March 29, 2005.

Dated: March 29, 2005

By: 

Edith Martin

Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Transmitted herewith for filing are:

1. Amendment/Response
2. Additional Enclosures: Acknowledgement Postcard

FEE CALCULATION

For	Current	Prev. Paid	No. Extra	Rate	Fee
Total Claims	20	- 54	0	\$ 50.00	\$ 0.00
Indep. Claims	7	- 21	0	\$ 200.00	\$ 0.00
Multiple Dependent Claims (add \$300.00 if applicable)					\$ 0.00
No Petition for Extension of Time is Required					\$ 0.00
OTHER FEE (specify purpose):					\$ 0.00
					TOTAL FEE
					\$ 0.00

The Commissioner is hereby authorized to charge and credit Deposit Account No. 50-1852 as described below. A duplicate copy of this sheet is enclosed.

- Credit any overpayment.
- Charge any additional fees required under 37 CFR 1.16 and 1.17.

Respectfully submitted,



March 29, 2005
Date

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FW
Patent

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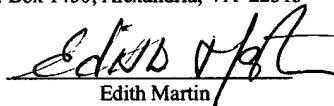
For: METHODS AND APPARATUS FOR
ROUTING SECURITIES ORDERS

)
Group Art Unit: 3621
)
Examiner: Cangialosi, Salvatore A.
)
AMENDMENT and RESPONSE to March
17, 2005 Non-Final Office Action
)
Attorney Docket No.: G08.071
)
PTO Customer Number 28062
Buckley, Maschoff & Talwalkar LLC
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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

In response to the Non-Final Office Action mailed March 17, 2005, please amend the above-identified application as follows.

Amendments to the claims are reflected in the listing of claims which begins on page 2 of this paper.

Remarks begin on page 8 of this paper.